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does INDEMNITY

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mean? INDEMNITY

meaning,
definition

\u0026

explanation

~~\ "Uncle\ " Bob~~

~~Martin — \ "The~~

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~~NOTARY2NOTARY 3~~

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premium

following the
notification -
all of which hit
the bottom line.

1“Client/s” in
this text refers
to proposers for

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insurance or
policyholders or
Insureds, as may
be relevant in
the context in
each case.

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that support
people who may
be entitled to

Personal

Independence

Payment (PIP) .

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detailed

guidance about

PIP and lists

other sources

of...

PIP handbook -

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GOV.UK
the handbook
describes which
insurance
transactions are
regulated. If
you provide fee
protection
insurance to
your clients,
this may be a
regulated
activity (see
Part 3, schedule

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9 of the
handbook) and
you may need a
DPB (Investment
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licence and
enhanced PII.

*Important
information
about your
firm's PII cover
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Insurance*

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offers Second
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Intermediary
Professional
Indemnity
insurance on a
claims made
basis for any
breach of duty
and/or fiduciary
duty caused by
negligence
whilst your

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client is
conducting
insurance
mediation
activities as an
intermediary or
operating a
scheme granted
by a product
provider.

*Professional
Indemnity for
Motor Traders -*

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Motor Trade ...

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indemnity

insurance is

liability

insurance that

covers

businesses when

a third party

claims to have

suffered a loss,

generally as a

result of

professional

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negligence. Why

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does the FCA
require firms to
hold PII cover?

- It provides an additional financial resource from which firms can pay justified claims.

*For all firms
that hold/need*

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Insurance

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indemnity

insurance (PII)

is liability

insurance that

covers firms

when a third

party claims to

have suffered a

loss, usually

due to

professional

negligence. We

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require certain
firms to hold
this kind of
cover because:
it provides an
extra financial
resource that
you can pay
justified claims
from

*Professional
indemnity
insurance | FCA
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a combination of
initial capital
and professional
indemnity

insurance in a
form resulting
in a level of
coverage
equivalent to
(a) or (b). [

Note: Article 67
(3) of MiFID and
article 31 (1)
of the CRD (see

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also rule IPRU-
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Capital
resources and
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Reinsurance (28)
Risk transfer
(6) Liquidity

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(11) Intra-group
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Pricing
liquidity risk
(3) Market risk
management (49)

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Value at risk

(8) CAD model 1

(5) PRR (15)

Operational risk

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Limitation of

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been removed.

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firm; IPRU-INV

13.3 FINANCIAL

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[deleted] IPRU–
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An authorised
body carrying on
a practice
during any
indemnity period

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beginning on or
after 25
November 2019

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must take out
and maintain
qualifying
insurance under
these rules with
a participating
insurer.; In
respect of its
obligation under
rule 2.1, an
authorised body

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insurance prior
to the expiry of
the policy
period, that
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incepting on and
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Regulation ...

The regulator
has been
reluctant to
allow advisers
to self-insure
DB work, despite
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permitting
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capital to be
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of FCA Legal
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presents changes

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Insurance covering
your practice as
a self-employed
barrister, you
can continue to
practise in this
capacity whilst
you are waiting
for entity
insurance cover.

*Insurance for
BSB entities -
Bar Standards
Page 44/51*

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Board

As set out in
PRIN 3.3

(Where?),
Principles 1
(Integrity), 2
(Skill, care and
diligence) and 3
(Management and
control) apply
to world-wide
activities in a
prudential
context.

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Principle 5

(Market conduct) applies to world-wide activities which might have a negative effect on confidence in the UK financial system 8. In considering whether to take regulatory action under

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Brokers

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Insurance

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Policy 4 of 16

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complaint

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dealt with by
the Assured

under the
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Resolution:
Complaints
(DISP)

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the Financial
Services

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or the

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and more
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